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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

4

Application Number

09932588

Filing Date

August 17, 2001

First Named Inventor

Panttaja, James T.

Group Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

018167003800

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

Preliminary Amendment with version with  
markings to show changes made (3  
pages), Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP  
Gregory E. Stanton

Reg No. 45,127

Signature

Date

August 27, 2001

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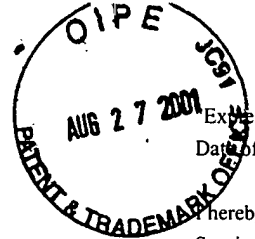
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

James T. Panttaja, et al.

Application No.:

Filed: August 17, 2001

For: REDEMPTION SYSTEM FOR  
AWARD REDEMPTION

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE SPECIFICATION:

Please replace the paragraph starting on line 29 of page 11 with the following amended paragraph:

--Then, in a step 708, of the available awards with the earliest expiration date and with the earliest earning date, the most encumbered awards are determined. In the above example, the available awards with the earliest expiration date and with the earliest earning date correspond to rows 338 and 340. The awards corresponding to row 338 were earned at business "4" according to promotion "2". Referring now to Fig. 4, row 412 indicates that awards earned at business "4" according to promotion "2" are not accepted by supplier "200". Thus, the awards corresponding to row 338 are encumbered. On the other hand, the awards corresponding to row 340 were earned at